JAN 3 0 2004 OCIANO NO.: 01-S-015 CLIENT NO.: STMI01-00020

Customer No.: 30425

76/500

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Semir S. Haddad, et al.

U.S. Serial No.

09/943,793

Filed

August 31, 2001

For

APPARATUS AND METHOD FOR SYNCHRONIZING VIDEO AND

AUDIO MPEG STREAMS IN A VIDEO PLAYBACK DEVICE

Group No.

2613

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Examiner

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FEB 0 6 2004

**Technology Center 2600** 

Commissioner of Patents P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

# **CERTIFICATE OF MAILING BY FIRST CLASS MAIL**

The undersigned hereby certifies that the following documents:

- 1. Postcard Receipt;
- 2. Information Disclosure Statement;
- 3. PTO/SB/08A;
- 4. Copy of European Search Report for European Application No. EP 02255643.5; and,
- 5. Four (4) references

relating to the above application, were deposited as "First Class Mail" with the United States Postal Service, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on January 26, 2004.

Date

. 26,2004

Mailer

Date:

Jan. 23 2004

William A. Munck, Esq.

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**DOCKET NO.: 01-S-015** 

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## INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. § 1.56, Applicant submits this statement. This submittal is made in accordance with 37 C.F.R. §§ 1.97 and 1.98 and § 609 of the Manual of Patent Examining Procedure. The patents, publications and other information herein are listed below and on the attached Form PTO/SB/08A. These references were located in a European Search Report issued in the related European Patent Application No. EP 02 255643.5, a copy of which is attached hereto. Copies of the listed references are submitted herewith.

#### U.S. Patents

 PATENT NO.
 INVENTOR
 DATE

 5,652,627
 Allen
 July 29, 1997

DOCKET NO. 01-S-015 U.S. SERIAL NO. 09/943,793 PATENT

 PATENT NO.
 INVENTOR
 DATE

 5,726,989
 Dokic
 March 10, 1998

 5,832,256
 Kim
 November 3, 1998

#### **Foreign Patents**

PATENT NO. COUNTRY DATE

EP 1 076 461 A1 Europe February 14, 2001

Applicant hereby expressly reserves the right to swear behind the effective dates of any of the above Patents and to question the relevance and materiality of the Patents and Publications listed herein, in whole, in part, or in combination, subsequent to filing this Information Disclosure Statement.

In accordance with 37 C.F.R. 1.97(e), the undersigned certifies that each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Further, pursuant to 37 C.F.R. § 1.97(e) and the above statements, no fee is believed to be due for the filing of this Information Disclosure Statement.

Respectfully submitted,

William A. Munck, Esq. Registration No. 39,308

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Substitute for form 1449/PTO

Sheet 1

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	09/943,793			
Filing Date	August 31, 2001			
First Named Inventor	Semir S. Haddad			
Art Unit	2613			
Examiner Name	Not yet assigned			
Attorney Docket Number	01-S-015 (STMI01-00020)			

	Cite	Document Number	MM-DD-YYYY	Name of Patentee or	Pages, Columns, Lines, Where
	No.1	Number-Kind Code <sup>2 (# known)</sup>		Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
	AA	<sup>US-</sup> 5,652,627	07/29/1997	Allen	
	AB	<sup>US-</sup> 5,726,989	03/10/1998	Dokic	
	AC	<sup>US-</sup> 5,832,256	11/03/1998	Kim	
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		FOREI	IGN PATENT DOCU	JMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
	<u> </u>	Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T <sup>6</sup>
	AD	EP 1 076 461 A1	. 02/14/2001	Thomson Multimedia		
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						L
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Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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